

# Gaps for 2-random reals

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# 1-randoms have excess complexity

A is 1-random...

- iff  $K(A \upharpoonright n) \geq^+ n$  (Levin; Schnorr)
- iff  $K(A \upharpoonright n) - n \rightarrow \infty$  (Chaitin)
- iff  $\sum_{n \in \omega} 2^{n - K(A \upharpoonright n)} < \infty$  (**Ample Excess**: M. and Yu).

Any real with initial segment complexity greater than  $n$ , has complexity somewhat significantly greater than  $n$ .

We know a lot about the *excess complexity*.

# There is no gap

There is no single gap that works for all 1-random reals.

**Theorem (Downey and Bienvenu; M. and Yu)**

If  $f: \omega \rightarrow \omega$  is *any* unbounded function, then there is a 1-random  $A$  such that  $(\exists^\infty n) K(A \upharpoonright n) < n + f(n)$ .

(Downey and Bienvenu proved this under the assumption that  $f$  is monotonic.)

The proof requires that we exert fairly fine control over dips in  $K(A \upharpoonright n) - n$ . For that we use *the bounding lemma*.

# The bounding lemma

## Bounding Lemma (M. and Yu)

If  $\sum_{n \in \omega} 2^{-g(n)} < \infty$  and  $g \leq_T A$  with use  $n$ , then  $K(A \upharpoonright n) \leq^+ n + g(n)$ .

Using  $g \upharpoonright (n + 1)$  we could give every string of length  $n$  a description of length  $n + g(n) + O(1)$  (Kraft–Chaitin).

Let  $\sigma$  be the description of  $A \upharpoonright n$ . So  $\sigma$  codes  $A \upharpoonright n$ , from which we can compute  $g \upharpoonright (n + 1)$ , from which we can decode  $\sigma$ . We would know how to read the message if only we knew what the message said. The heart of the proof is resolving this circularity.

# Ample excess is tight

## Theorem

If  $\sum_{n \in \omega} 2^{-f(n)} < \infty$ , then there is a 1-random  $A \in 2^\omega$  such that

$$K(A \upharpoonright n) \leq^+ n + f(n).$$

## Proof Idea.

Find a function  $g$  such that

- 1  $g \leq f$ ,
- 2  $\sum_{n \in \omega} 2^{-g(n)} < \infty$ ,
- 3  $g$  can be coded in a compact way.

Use (Kučera–)Gács to find a 1-random  $A$  such that  $g \leq_T A$  with use  $n$ . Apply the bounding lemma.  $\square$

# There is no gap

## Theorem (Downey and Bienvenu; M. and Yu)

If  $f: \omega \rightarrow \omega$  is *any* unbounded function, then there is a 1-random  $A$  such that  $(\exists^\infty n) K(A \upharpoonright n) < n + f(n)$ .

## Proof.

Let  $g$  be any function such that

- 1  $\sum_{n \in \omega} 2^{-g(n)} < \infty$ ,
- 2  $\limsup_{n \in \omega} f(n) - g(n) = \infty$ .

Apply the previous theorem. □

## Review

- A 1-random implies  $K(A \upharpoonright n) - n \rightarrow \infty$ .
- No lower bound on this divergence for all 1-randoms.

# Gaps for stronger randomness notions?

An easy consequence of the no-gap theorem:

## Corollary

If  $f: \omega \rightarrow \omega$  is *any* unbounded function, then there is a weak 2-random  $A$  such that  $(\exists^\infty n) K(A \upharpoonright n) < n + f(n)$ .

On the other hand:

## Proposition

There is a monotonic  $f$  such that if  $A$  is Schnorr 2-random, then  $K(A \upharpoonright n) \geq^+ n + f(n)$ .

We will focus on gaps for 2-random reals.

Solovay first proved the existence of a complexity gap for 2-randomness. He also showed that  $\Omega$  did not respect this gap. More on Solovay soon...

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### Recall

The halting probability  $\Omega$  is Turing equivalent to  $\emptyset'$ .

So,  $A$  is 2-random...

- iff  $A$  is  $\emptyset'$ -random
- iff  $A$  is  $\Omega$ -random
- iff  $\Omega$  is  $A$ -random (Van Lambalgen's theorem)

# A gap characterizing 2-random reals

## Theorem (M. and Yu)

A and B are random relative to each other iff

$$K(A \upharpoonright n) + C(B \upharpoonright n) \geq^+ 2n.$$

Therefore, A is 2-random **if and only if**

$$K(A \upharpoonright n) \geq^+ n + f(n),$$

where  $f(n) = n - C(\Omega \upharpoonright n)$ .

## What's left?

- Characterize 2-randomness with a *monotonic* gap.
- Understand Solovay's work on this subject.

Solovay considered two “inverse busy beaver” functions:

$$\alpha(n) = \min_{m \geq n} K(m),$$

$$s(n) = -\log \left( \sum_{m \geq n} 2^{-K(m)} \right).$$

Both are nondecreasing, unbounded and  $\emptyset'$ -computable.

## Theorem (Solovay)

- $s(n) \leq^+ \alpha(n)$ ,
- $\alpha(n) \leq^+ s(n) + K(s(n))$ .

## Theorem (Solovay)

- $K^{\emptyset'}(n) \leq K(n) - \alpha(n) + O(\log \alpha(n))$ ,
- There is a  $c$  such that if  $A$  is 2-random, then
$$K(A \upharpoonright n) \geq n + \alpha(n) - c \log \alpha(n).$$

We will show that:

## Theorem

- There is no  $A$  for which  $K(A \upharpoonright n) \geq^+ n + \alpha(n)$ ,
- $A$  is 2-random **if and only if**  $K(A \upharpoonright n) \geq^+ n + s(n)$ .

We have a natural monotonic gap characterizing 2-randomness.

# Why $\alpha$ is not a gap

## Theorem (M. and Yu)

The following are equivalent for  $g$ :

- 1  $\sum_{n \in \omega} 2^{g(n) - K(n, g(n))}$  diverges,
- 2 For almost every  $A$ , it is not the case that  $K(A \upharpoonright n) \geq^+ n + g(n)$ .

Take  $m$  such that  $\alpha(m) = K(m)$ .

Then  $K(m, \alpha(m)) = K(m, K(m)) =^+ K(m) = \alpha(m)$ , so  $2^{\alpha(m) - K(m, \alpha(m))}$  is bounded below for such  $m$ . Therefore,  $\sum_{n \in \omega} 2^{\alpha(n) - K(n, \alpha(n))}$  diverges.

Therefore,  $K(A \upharpoonright n) \not\geq^+ n + \alpha(n)$ , for almost every  $A$ .

## Why $\alpha$ is not a gap (cont.)

From:

- $K(A \upharpoonright n) \not\geq^+ n + \alpha(n)$ , for almost every  $A$ , and
- $\alpha \leq_T \emptyset'$ ,

it follows that  $K(A \upharpoonright n) \not\geq^+ n + \alpha(n)$  when  $A$  is 2-random.

But  $K(A \upharpoonright n) \geq^+ n + \alpha(n)$  implies  $K(A \upharpoonright n) \geq^+ n + s(n)$  (since  $s \leq^+ \alpha$ ), which implies that  $A$  is 2-random (see below).

### Corollary

There is no  $A$  for which  $K(A \upharpoonright n) \geq^+ n + \alpha(n)$ .

# A characterization of s

Let  $\{\Omega_n\}_{n \in \omega}$  be a computable, non-decreasing sequence of rational numbers converging to  $\Omega$ .

## Definition

$$\gamma(n) = -\log(\Omega - \Omega_n).$$

## Proposition

The definition of  $\gamma$  is independent, up to a constant, of the choice of  $\Omega$  and  $\{\Omega_n\}_{n \in \omega}$ .

This follows from Kučera and Slaman, who show that *every* computable, monotonic sequence approximating a 1-random c.e. real has essentially the same rate of convergence.

## A characterization of $s$ (cont.)

$$\begin{aligned}s(n) &= -\log \left( \sum_{m \geq n} 2^{-K(m)} \right), \\ \gamma(n) &= -\log(\Omega - \Omega_n).\end{aligned}$$

### Proposition

$$\gamma(n) =^+ s(n).$$

### Proof.

Take  $\Omega = \sum_{m \in \omega} 2^{-K(m)}$  and  $\Omega_n = \sum_{m < n} 2^{-K_n(m)}$ .

Then clearly  $\Omega - \Omega_n \geq \sum_{m \geq n} 2^{-K(m)}$ , so  $\gamma(n) \leq^+ s(n)$ .

But  $K(m) \leq^+ -\log(\Omega_{m+1} - \Omega_m)$ , so  $s(n) \leq^+ \gamma(n)$ . □

# Another slow growing function

We use another slow growing function and close relative of  $\gamma$ .

## Definition

$$\hat{\gamma}(n) = \max\{k: \Omega_n \upharpoonright k = \Omega \upharpoonright k\}.$$

## Notes

- Since  $\Omega - \Omega_n \leq 2^{-\hat{\gamma}(n)}$ , we have  $\hat{\gamma} \leq^+ \gamma$ .
- It is not clear that  $\hat{\gamma}$  is independent of the choice of  $\Omega$  and  $\{\Omega_n\}_{n \in \omega}$ .
- Also not proved: is  $\hat{\gamma}$  different from  $\gamma$ ?

# Another gap for 2-random reals

## Theorem

The following are equivalent for  $A \in 2^\omega$  :

- 1  $A$  is 2-random,
- 2  $K(A \upharpoonright n) \geq^+ n + \gamma(n)$ .

The same holds with  $\gamma$  replaced by  $\hat{\gamma}$ .

## Proof.

We may assume that  $A$  is 1-random, otherwise neither condition holds.

(2)  $\implies$  (1) for  $\hat{\gamma}$ : Define  $g$  as follows. To find  $g(n)$ , look for the the first *unused*  $\sigma \in 2^{<\omega}$  such that  $U_n^A \upharpoonright^n(\sigma) = \tau$  and  $\Omega_n \upharpoonright |\tau| = \tau$ . Mark  $\sigma$  as *used* and set  $g(n) = |\sigma|$ . Otherwise, let  $g(n) = n$ .

## Proof (Cont.)

Note that  $g(n)$  can be computed from  $A \upharpoonright n$ . Also note that  $\sum_{n \in \omega} 2^{-g(n)} < \infty$ . Therefore, by the bounding lemma, there is a  $d \in \omega$  such that  $K(A \upharpoonright n) \leq n + g(n) + d$ .

If  $\Omega$  is not  $A$ -random, fix  $c$  and find an  $m$  such that  $K^A(\Omega \upharpoonright m) \leq m - c$ . Let  $\sigma$  be a minimal  $U^A$ -program for  $\Omega \upharpoonright m$ . This  $\sigma$  will eventually be used in the definition of  $g$  for some  $n$ . Thus  $g(n) = |\sigma| \leq m - c$ . But  $\Omega_n \upharpoonright m = \Omega \upharpoonright m$ , so  $\hat{\gamma}(n) \geq m$ . Therefore

$$K(A \upharpoonright n) \leq n + g(n) + d \leq n + m - c + d \leq n + \hat{\gamma}(n) - c + d.$$

For all  $c$ , there is such an  $n$ , hence  $K(A \upharpoonright n) \not\leq^+ n + \hat{\gamma}(n)$ .

(1)  $\implies$  (2) for  $\gamma$ : Use the ample excess lemma. □

## Review

- A 1-random implies  $K(A \upharpoonright n) - n \rightarrow \infty$ .
- No lower bound on this divergence works for all weak 2-random reals.
- There is a gap for all Schnorr 2-random reals.
- $\alpha(n) = \min_{m \geq n} K(m)$  is not a gap for any 1-random.
- There is a monotonic gap  $\gamma(n) =^+ s(n)$  characterizing 2-randomness.

## Final note

It is not the case that  $K^{\theta'}(n) \leq^+ K(n) - \gamma(n)$ .

This is because  $\sum_{n \in \omega} 2^{-K(n) + \gamma(n)}$  diverges.

Thank You